



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/826,117	01/09/2001	Urbain Alfred Von der Embse		4387
7590	09/23/2004		EXAMINER	
Urbain Alfred von der Embse PO Box 11690 Marina del Rey, CA 90295			MURPHY, RHONDA L	
			ART UNIT	PAPER NUMBER
			2667	

DATE MAILED: 09/23/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/826,117	VON DER EMBSE, URBAIN ALFRED	
	Examiner Rhonda L Murphy	Art Unit 2667	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

1) Responsive to communication(s) filed on \_\_\_\_\_.  
 2a) This action is FINAL.      2b) This action is non-final.  
 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

4) Claim(s) 1-6 is/are pending in the application.  
 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) Claim(s) \_\_\_\_\_ is/are allowed.  
 6) Claim(s) 1-6 is/are rejected.  
 7) Claim(s) \_\_\_\_\_ is/are objected to.  
 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

9) The specification is objected to by the Examiner.  
 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
 a) All    b) Some \* c) None of:  
 1. Certified copies of the priority documents have been received.  
 2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413)
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Date. _____ .
3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date _____ .	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
	6) <input type="checkbox"/> Other: _____ .

**DETAILED ACTION**

1. An examination of this application reveals that applicant is unfamiliar with patent prosecution procedure. While an inventor may prosecute the application, lack of skill in this field usually acts as a liability in affording the maximum protection for the invention disclosed. Applicant is advised to secure the services of a registered patent attorney or agent to prosecute the application, since the value of a patent is largely dependent upon skilled preparation and prosecution. The Office cannot aid in selecting an attorney or agent.

Applicant is advised of the availability of the publication "Attorneys and Agents Registered to Practice Before the U.S. Patent and Trademark Office." This publication is for sale by the Superintendent of Documents, U.S. Government Printing Office, Washington, D.C. 20402.

***Specification*****Content of Specification**

- (a) Title of the Invention: See 37 CFR 1.72(a) and MPEP § 606. The title of the invention should be placed at the top of the first page of the specification unless the title is provided in an application data sheet. The title of the invention should be brief but technically accurate and descriptive, preferably from two to seven words may not contain more than 500 characters.
- (b) Cross-References to Related Applications: See 37 CFR 1.78 and MPEP § 201.11.
- (c) Statement Regarding Federally Sponsored Research and Development: See MPEP § 310.

(d) Incorporation-By-Reference Of Material Submitted On a Compact Disc: The specification is required to include an incorporation-by-reference of electronic documents that are to become part of the permanent United States Patent and Trademark Office records in the file of a patent application. See 37 CFR 1.52(e) and MPEP § 608.05. Computer program listings (37 CFR 1.96(c)), "Sequence Listings" (37 CFR 1.821(c)), and tables having more than 50 pages of text were permitted as electronic documents on compact discs beginning on September 8, 2000.

Or alternatively, Reference to a "Microfiche Appendix": See MPEP § 608.05(a). "Microfiche Appendices" were accepted by the Office until March 1, 2001.

(e) Background of the Invention: See MPEP § 608.01(c). The specification should set forth the Background of the Invention in two parts:

- (1) Field of the Invention: A statement of the field of art to which the invention pertains. This statement may include a paraphrasing of the applicable U.S. patent classification definitions of the subject matter of the claimed invention. This item may also be titled "Technical Field."
- (2) Description of the Related Art including information disclosed under 37 CFR 1.97 and 37 CFR 1.98: A description of the related art known to the applicant and including, if applicable, references to specific related art and problems involved in the prior art which are solved by the applicant's invention. This item may also be titled "Background Art."

(f) Brief Summary of the Invention: See MPEP § 608.01(d). A brief summary or general statement of the invention as set forth in 37 CFR 1.73. The summary is separate and distinct from the abstract and is directed toward the invention rather than the disclosure as a whole. The summary may point out the advantages of the invention or how it solves problems previously existent in the prior art (and preferably indicated in the Background of the Invention). In chemical cases it should point out in general terms the utility of the invention. If possible, the nature and gist of the invention or the inventive concept should be set forth. Objects of the invention should be treated briefly and only to the extent that they contribute to an understanding of the invention.

- (g) Brief Description of the Several Views of the Drawing(s): See MPEP § 608.01(f). A reference to and brief description of the drawing(s) as set forth in 37 CFR 1.74.
- (h) Detailed Description of the Invention: See MPEP § 608.01(g). A description of the preferred embodiment(s) of the invention as required in 37 CFR 1.71. The description should be as short and specific as is necessary to describe the invention adequately and accurately. Where elements or groups of elements, compounds, and processes, which are conventional and generally widely known in the field of the invention described and their exact nature or type is not necessary for an understanding and use of the invention by a person skilled in the art, they should not be described in detail. However, where particularly complicated subject matter is involved or where the elements, compounds, or processes may not be commonly or widely known in the field, the specification should refer to another patent or readily available publication which adequately describes the subject matter.
- (i) Claim or Claims: See 37 CFR 1.75 and MPEP § 608.01(m). The claim or claims must commence on separate sheet or electronic page (37 CFR 1.52(b)(3)). Where a claim sets forth a plurality of elements or steps, each element or step of the claim should be separated by a line indentation. There may be plural indentations to further segregate subcombinations or related steps. See 37 CFR 1.75 and MPEP § 608.01(i)-(p).
- (j) Abstract of the Disclosure: See MPEP § 608.01(f). A brief narrative of the disclosure as a whole in a single paragraph of 150 words or less commencing on a separate sheet following the claims. In an international application which has entered the national stage (37 CFR 1.491(b)), the applicant need not submit an abstract commencing on a separate sheet if an abstract was published with the international application under PCT Article 21. The abstract that appears on the cover page of the pamphlet published by the International Bureau (IB) of the World Intellectual Property Organization (WIPO) is the abstract that will be used by the USPTO. See MPEP § 1893.03(e).
- (k) Sequence Listing: See 37 CFR 1.821-1.825 and MPEP §§ 2421-2431. The requirement for a sequence listing applies to all sequences disclosed in a given application, whether the sequences are claimed or not. See MPEP § 2421.02.

***Claim Rejections - 35 USC § 101***

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-6 are rejected under 35 U.S.C. 101 because

the claimed invention is directed to non-statutory subject matter. Claims 1-6 are directed to the structure of the Walsh code, which is considered as data structure.

***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

4. Claims 1-6 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Claims 1 – 6 are single means claims.

5. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

6. Claims 1-6 rejected as failing to define the invention in the manner required by 35 U.S.C. 112, second paragraph.

The claim(s) are narrative in form and replete with indefinite and functional or operational language. The structure which goes to make up the device must be clearly and positively specified. The structure must be organized and correlated in such a manner as to present a complete operative device. The claim(s) must be in one sentence form only. Note the format of the claims in the patent(s) cited.

***Claim Rejections - 35 USC § 102***

7. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

8. Claim 6 is rejected under 35 U.S.C. 102(b) as being anticipated by Li et al. (US 6,389,138).

**Regarding claim 6,** Li teaches 4-phase Walsh orthogonal CDMA codes in the code space  $C^n$  which include the 2-phase real Walsh orthogonal CDMA codes in  $R^n$  (col. 7, lines 28-38); 4-phase Walsh orthogonal CDMA codes which have computationally efficient encoding and decoding implementation algorithms (applicant has made an admission of prior art, page 31, third paragraph).

***Claim Rejections - 35 USC § 103***

9. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to

be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

10. Claims 1 - 4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yang (US 6,674,712) in view of Baum (US 2002/0126741).

**Regarding claims 1 and 3,** Yang teaches a complex Walsh orthogonal code with the real component equal to the real Walsh orthogonal code (it is known in the art that the real components are equal to one another); a complex Walsh orthogonal code with the imaginary component equal to a reordering of the real Walsh orthogonal code (col. 6, lines 14-17, 28-32); a complex Walsh orthogonal code which has the sign values  $+/-1$   $+/-j$  for the real and imaginary axes (it is known in the art that real and imaginary axes include  $+/-1$   $+/-j$  sign values); a complex Walsh orthogonal code which has a fast decoding algorithm (applicant has made an admission of prior art, page 31, third paragraph). Yang also teaches the correct generalization of the real Walsh orthogonal CDMA codes to the complex Walsh orthogonal CDMA codes (It is known in the art that the generalization between real and complex codes can be made); a computationally efficient means to encode and decode the complex Walsh orthogonal CDMA codes (applicant has made an admission of prior art, page 31, third paragraph).

Yang fails to teach a complex Walsh orthogonal code in correspondence with the discrete Fourier transform (DFT).

However, Baum teaches a complex Walsh orthogonal code which is in correspondence with the discrete Fourier transform (DFT) complex orthogonal codes (page 4, paragraph 48) wherein the correspondence is twofold: the

sequency of the complex Walsh orthogonal codes is the average rate of rotation of the complex Walsh codes and corresponds to the frequency of the DFT codes with sequency as well as frequency increasing with the code numbering (page 4, paragraph 48), and the second correspondence is between the even and odd complex Walsh code vectors and the cosine and sine DFT code vectors respectively (page 4, paragraph 49); provide a hybrid complex Walsh orthogonal code which can be constructed for a wide range of code lengths by combining the complex Walsh codes with DFT complex orthogonal codes (page 3, paragraph 40; page 4, paragraph 49, 54).

In view of this, having the system of Yang and then given the teaching of Baum, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the system of Yang, by including the correspondence between a complex orthogonal Walsh code and DFT complex orthogonal code, so as to provide accurate periodicities.

**Regarding claim 2,** Yang also teaches a complex Walsh orthogonal CDMA codes which reduce to the real Walsh orthogonal CDMA codes upon removal of the complex code components (official notice is taken of the real codes resulting from the removal of complex code components); a complex Walsh orthogonal CDMA codes which reduce to the real Walsh orthogonal CDMA codes upon removal of the real code components (official notice is taken of the real codes resulting from the removal of real code components); a means for the computational efficient encoding and decoding of the complex Walsh orthogonal

CDMA codes (applicant has made an admission of prior art, page 31, third paragraph).

**Regarding claim 4,** Yang teaches a means to provide greater flexibility in the selection of the code length by combining the complex Walsh orthogonal CDMA codes with the complex DFT orthogonal CDMA codes (applicant has made an admission of prior art, page 32, first paragraph); a Kronecker product means to combine the complex Walsh orthogonal CDMA codes with the complex DFT orthogonal CDMA codes (applicant has made an admission of prior art, page 32, first paragraph);

Yang fails to teach the following limitation taught by Baum: a direct sum means to combine the complex Walsh orthogonal CDMA codes with complex DFT orthogonal CDMA codes as well as other complex Walsh orthogonal CDMA codes (Fig. 2); a functionality means to combine the complex Walsh orthogonal CDMA codes with the complex DFT orthogonal CDMA codes (Fig. 2 and 3).

In view of this, having the system of Yang and then given the teaching of Baum, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the system of Yang, by providing a direct sum means to combine the codes, so as to provide a longer code length.

11. Claim 5 is rejected under 35 U.S.C. 103(a) as being unpatentable over Li (US 6,389,138) in view of Baum (US 2002/0126741).

**Regarding claim 5,** Li teaches 4-phase Walsh orthogonal CDMA codes which can be reduced to the 2-phase real Walsh orthogonal CDMA codes (col. 7, lines

28-38); 4-phase Walsh orthogonal CDMA codes which are the correct generalization of the 2-phase real Walsh orthogonal CDMA codes to 4-phases (col. 7, lines 28-38).

Li fails to teach combining the 4-phase Walsh orthogonal codes with the N-phase DFT codes.

However, Baum teaches combining the 4-phase Walsh orthogonal codes with the N-phase DFT codes (page 4, paragraphs 48, 49).

In view of this, having the system of Li and then given the teaching of Baum, it would have been obvious to one having ordinary skill in the art at the time the invention was made to modify the system of Baum, by combining the codes with the N-phase DFT codes so as to incorporate a longer code.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Rhonda L Murphy whose telephone number is (571) 272-3185. The examiner can normally be reached on Monday - Friday 8:00 - 4:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Ngo can be reached on (571) 272-3139. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

rlm



RICKY NGO  
PRIMARY EXAMINER

9/10/04